



Racing NSW  
Level 7, 51 Druitt Street  
Sydney NSW 2000  
Ph: (02) 9551 7500

**APPLICATION FOR RENEWAL AS A SHAREHOLDER  
IN A BOOKMAKER COMPANY**

**\$55 (renewal)**

**SECTION 1: Personal Details**

SURNAME: \_\_\_\_\_ GIVEN NAMES: \_\_\_\_\_

DATE OF BIRTH: \_\_\_\_\_ PLACE OF BIRTH: \_\_\_\_\_

PREFERRED NAME: \_\_\_\_\_

RESIDENTIAL ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ POST CODE: \_\_\_\_\_

POSTAL ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ POST CODE: \_\_\_\_\_

**SECTION 2: Bookmaker Company Details**

*Nominating Director to complete the following:*

Company Name: \_\_\_\_\_

### SECTION 3: Personal & Licence History (shareholder)

		If you answer Yes to any questions from 1 to 7 please provide details
<b>1</b>	Are you currently under any ban/restriction imposed by any Racing Authority?	No <input type="checkbox"/> Yes <input type="checkbox"/>
<b>2</b>	Are there any charges pending against you, or any open or unfinished inquiries, investigations or matters before any Racing Authority involving you?	No <input type="checkbox"/> Yes <input type="checkbox"/>
<b>3</b>	Have you ever had a licence disqualified, revoked, suspended, withdrawn, refused by any Racing Authority?	No <input type="checkbox"/> Yes <input type="checkbox"/>
<b>4</b>	Have you ever been proceeded against for Bankruptcy and/or have you/they taken advantage of the laws of Bankruptcy or Insolvency in force for the time?	No <input type="checkbox"/> Yes <input type="checkbox"/>
<b>5</b>	Have you ever been convicted of any indictable offence or banned as a director by the Australian Securities and Investments Commission (ASIC)?	No <input type="checkbox"/> Yes <input type="checkbox"/>
<b>6</b>	Have you ever been involved in any activities associated with SP betting?	No <input type="checkbox"/> Yes <input type="checkbox"/>
<b>7</b>	Have you in the last 10 Years been charged with or convicted of a criminal offence, forfeited bail or are there any charges or civil proceedings pending against you? (You may be required to provide a National Police Certificate) ***Please note you are required to notify Racing NSW within 14 days if you are charged with or convicted of a criminal offence (LR51(2)) refers	No <input type="checkbox"/> Yes <input type="checkbox"/>

### SECTION 4: Conditions

#### PRIVACY AND THE USE, COLLECTION AND DISCLOSURE OF YOUR PERSONAL INFORMATION

The Principal Racing Authorities collect information about you when you submit this Application and in the course of related enquiries made of third parties for the purposes of the Thoroughbred Racing Act 1996 (NSW). The Principal Racing Authorities will use that information to assess your application and, if registration is approved, your ongoing status as a licensed person. To do that, the Principal Racing Authorities may disclose your information to third parties such as your employers (past, present or prospective), other racing bodies, appeal bodies, wagering service providers, industry associations and government enforcement agencies if the Principal Racing Authorities believe that the disclosure is reasonably necessary for your ongoing status as a licensed person or to enable PRAs to fulfil their regulatory responsibilities and/or promote and protect the integrity of the sport to ensure compliance with the Rules of Racing. You do not have to supply the information requested in this application, but if the information (or any part of it) is not provided your application may be rejected. You can gain access to and request that corrections be made to information held about you by the Principal Racing Authorities. By completing and submitting this application, you authorise the Principal Racing Authorities to collect, use and disclose information about you for the purposes described in this notice, including the usual publication of such information in race books, racing calendars and other publications that can include (but are not limited to) websites.

#### Intellectual property

I agree that the Principal Racing Authorities will own all intellectual property in the information I submit with and in connection with this application for, and the grant and future maintenance of, the Licence. , and I hereby assign to the Principal Racing Authorities (and warrant that I am authorised to provide and assign) all such intellectual property and information and acknowledge that the Principal Racing Authorities may use (including by collating, modifying, publishing and distributing) all such intellectual property and information as it sees fit including\* Note: for Licensed Persons this information relates to the usual publication of material in race books, racing calendars, publications and websites etc. Any information outside this standard will not be released by Racing NSW without the written permission of the Licensed person.

#### Criminal History Record Check

All new licence applicants are subject to Criminal History Record checks. Existing licensees may also be requested to undergo such checks. The information contained in these records may lead to the licence application being refused.

#### Applicant acknowledges and warrants that:

- (a) the information contained in this application is complete, true, correct and not misleading (in either its content or by omission);
- (b) This application for the Bookmaker Company Shareholder registration has been appropriately authorised and executed by or on behalf of the Applicant.

<b>9</b>	Bookmaker Company Shareholder (Signature):	Today's Date:
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